CATEGORY II: EXTENSIVE SERVICES
RUG-III, 44 GROUP HIERARCHICAL CLASSIFICATION

The classification groups in this hierarchy are based on various services provided. Use the following instructions to begin the calculation:

▶ STEP # 1
Is the resident coded for receiving **one** or more of the following extensive services?

K5a	Parenteral / IV
P1ac	IV Medication
P1ai	Suctioning
P1aj	Tracheostomy care
P1al	Ventilator or respirator

If the resident does not receive one of the above, *skip to Category III* **now.**

▶ STEP # 2
If at least **one** of the above treatments is coded and the resident has a total RUG-III ADL score of 7 or more, he/she classifies as Extensive Services. ***Move to Step #3.*** **If the resident's ADL score is 6 or less, he/she classifies as Special Care (SSA*). Skip to Category III, Step #5* now and record the classification as SSA.**

▶ STEP # 3
The resident classifies in the Extensive Services category. To complete the scoring, however, an extensive count will need to be determined. If K5a (Parenteral IV) is checked, add 1 to the extensive count below. If P1ac (IV Medication) is checked, add 1 to the extensive count below. To complete the extensive count, determine if the resident also meets the criteria for Special Care, Clinically Complex, and Impaired Cognition. The final split into either SE1, SE2, or SE3 will be completed after these criteria have been scored*. **Go to Category III, Step #1** now.*

K5a	Parenteral / IV
P1ac	IV Medication

Extensive Count _____
(Enter this count in Step #4 on Page 6-23.)

CATEGORY III: SPECIAL CARE
RUG-III, 44 GROUP HIERARCHICAL CLASSIFICATION

The classification groups in this hierarchy are based on certain resident conditions. Use the following instructions:

▶ *STEP # 1*
Determine if the resident is coded for **one** of the following conditions:

I1s	Cerebral palsy, with ADL sum >=10	
I1w	Multiple sclerosis, with ADL sum >=10	
I1z	Quadriplegia, with ADL sum >=10	
J1h	Fever and **one** of the following;	
	I2e	Pneumonia
	J1c	Dehydration
	J1o	Vomiting
	K3a	Weight loss
	K5b	Tube feeding*

K5b, I1r	Tube feeding* and aphasia
M1a,b,c,d	Ulcers 2+ sites over all stages with 2 or more skin treatments**
M2a	Any stage 3 or 4 pressure ulcer with 2 or more skin treatments**
M4g,M4c	Surgical wounds or open lesions with 1 or more skin treatments***
P1ah	Radiation treatment
P1bdA	Respiratory therapy =7 days

Tube feeding classification requirements:
*(1)   K6a is 51% or more calories **OR***
(2)   K6a is 26% to 50% calories and K6b is 501 cc or more per day fluid enteral intake in the last 7 days.

***Skin treatments:*
M5a, b#	*Pressure relieving chair and/or bed*
M5c	*Turning/repositioning*
M5d	*Nutrition or hydration intervention*
M5e	*Ulcer care*
M5g	*Application of dressings (not to feet)*
M5h	*Application of ointments (not to feet)*

#Count as one treatment even if both provided

****Skin Treatments*
M5f	*Surgical wound care*
M5g	*Application of dressing (not to feet)*
M5h	*Application of ointments (not to feet)*

If the resident does not have one of the above conditions, *skip to Category IV* now.

▶ *STEP # 2*

If at least one of the special care conditions above is met:

      a.   If the resident previously qualified for Extensive Services, proceed to Extensive Count Determination. *Go to Step #3.*   **OR**

      b.   If the RUG-III ADL score is 7 or more, the resident classifies as Special Care. *Go to Step #4.*   **OR**

      c.   If the RUG-III ADL score is 6 or less, the resident classifies as Clinically Complex. *Skip to Category IV, Step #4.*

▶ *STEP # 3 (Extensive Count Determination)*

If the resident previously met the criteria for the Extensive Services category and the evaluation of the Special Care category is done only to determine if the resident is an SE1, SE2, or SE3, **enter 1 for the extensive count below and** *skip to Category IV, Step #1.*

<div align="center">

Extensive Count _____

(Enter this count in Step #4 on Page 6-23.)

</div>

▶ *STEP # 4*

If at least one of the special care conditions above is coded and the RUG-III ADL score is 7 or more, **the resident classifies in the Special Care category. Select the Special Care classification below based on the ADL score and** *record this classification in Step #5:*

RUG-III ADL Score	*RUG-III Class*
17 - 18	SSC
15 - 16	SSB
7 - 14	SSA

▶ *STEP #5*

Record the appropriate Special Care classification:

<div align="center">

RUG-III CLASSIFICATION _____

</div>

CATEGORY IV: CLINICALLY COMPLEX
RUG-III, 44 GROUP HIERARCHICAL CLASSIFICATION

The classification groups in this category are based on certain resident conditions. Use the following instructions:

▶ *STEP # 1*
Determine if the resident is coded for **one** of the following conditions:

B1	Coma and not awake (N1a, b, c = 0) and completely ADL dependent (G1aA, G1bA, G1hA, G1iA= 4 or 8)
I1a,O3, P8	Diabetes mellitus and injection 7 days and Physician order changes >= 2 days
I1v	Hemiplegia with ADL sum >=10
I2e	Pneumonia
I2g	Septicemia
J1c	Dehydration
J1j	Internal bleeding
K5b	Tube feeding*
M4b	Burns
M6b,c,f	Infection of foot (M6b or M6c) with treatment in M6f
P1aa	Chemotherapy
P1ab	Dialysis
P1ag	Oxygen therapy
P1ak	Transfusions
P7, P8	Number of Days in last 14, Physician Visit/order changes:

Visits >= 1 day and changes >= 4 days   **OR**
Visits >= 2 days and changes >= 2 days

*Tube feeding classification requirements
(1)   K6a is 51% or more calories **OR**
(2)   K6a is 26% to 50% calories and K6b is 501 cc or more per day fluid enteral intake in the last 7 days.

If the resident does not have one of the above conditions, *skip to Category V* **now.**

▶ *STEP # 2*
If at least one of the clinically complex conditions above is met:
a.   Extensive Count Determination. ***Go to Step #3***   **OR**
b.   Clinically Complex classification.   The resident classifies as Clinically Complex. ***Go to Step #4.***

► *STEP # 3 (Extensive Count Determination)*
If the resident previously met the criteria for the Extensive Services category, and the evaluation of the Clinically Complex category is done only to determine if the resident is an SE1, SE2, or SE3, **enter 1 for the extensive count below and *skip to Category V Step #1***.

Extensive Count _____
(Enter this count in Step #4 on Page 6-23.)

► *STEP # 4*
Evaluate for Depression. Signs and symptoms of a depressed or sad mood are used as a third level split for the Clinically Complex category. Residents with a depressed or sad mood are identified by the presence of a combination of symptoms, as follows:

Count the number of indicators of depression. The resident is considered depressed if he/she has at least 3 of the following:

(Indicator exhibited in last 30 days and coded "1" or "2")

E1a	Negative statements
E1b	Repetitive questions
E1c	Repetitive verbalization
E1d	Persistent anger with self and others
E1e	Self deprecation
E1f	Expressions of what appear to be unrealistic fears
E1g	Recurrent statements that something terrible is going to happen
E1h	Repetitive health complaints
E1i	Repetitive anxious complaints/concerns (Non-health related)
E1j	Unpleasant mood in morning
E1k	Insomnia/changes in usual sleep pattern
E1l	Sad, pained, worried facial expression
E1m	Crying, tearfulness
E1n	Repetitive physical movements
E1o	Withdrawal from activities of interest
E1p	Reduced social interaction

Does the resident have 3 or more indicators of depression? **YES_____   NO_____**

▶ *STEP # 5*

Assign the Clinically Complex category based on both the ADL score and the presence or absence of depression.

RUG-III ADL Score	*Depressed*	*RUG-III Class*
17 - 18	YES	CC2
17 - 18	NO	CC1
12 - 16	YES	CB2
12 - 16	NO	CB1
4 - 11	YES	CA2
4 - 11	NO	CA1

RUG-III CLASSIFICATION _____

CATEGORY V: IMPAIRED COGNITION
RUG-III, 44 GROUP HIERARCHICAL CLASSIFICATION

▶ *STEP # 1*

Determine if the resident is cognitively impaired according to the RUG-III Cognitive Performance Scale (CPS). The resident is cognitively impaired if **one** of the three following conditions exists:

(1)	B1	Coma and not awake (N1a, b, c = 0) and completely ADL dependent (G1aA, G1bA, G1hA, G1iA = 4 or 8) and B4 is blank or unknown (value "-")
(2)	B4	Severely impaired cognitive skills (B4 = 3)
(3)	B2a, B4, C4	These three items (B2a, B4, and C4) are all assessed with none being blank or unknown (N/A)

<div align="center">

AND

Two or more of the following impairment indicators are present

</div>

B2a = 1	Short-term memory problem
B4 > 0	Cognitive skills problem
C4 > 0	Problem being understood

<div align="center">

AND

One or more of the following severe impairment indicators are present:

</div>

B4 >= 2	Severe cognitive skills problem
C4 >= 2	Severe problem being understood

If the resident does not meet the criteria for cognitively impaired:
   a.   and the evaluation is being done to determine if the resident is in SE1, SE2, or SE3, *skip to Step #4* on Page 6-23 "Category II: Extensive Services (cont.)."
   OR
   b.   *Skip to Category VI* now.

▶ *STEP # 2*

If the resident meets the criteria for cognitive impairment:
   a.   Extensive Count Determination. *Go to Step #3.*   __OR__
   b.   Impaired Cognition classification. The resident may classify as Impaired Cognition. *Go to Step #4.*

▶ *STEP # 3 (Extensive Count Determination)*
If the resident previously met the criteria for the Extensive Services category, and the evaluation of the Impaired Cognition category is done to determine if the resident is in SE1, SE2, or SE3, **enter 1 for the extensive count below and *skip to Step #4* on Page 17, "Category II: Extensive Services (cont.)."**

<div align="right">

Extensive Count _____
(Enter this count in Step #4 on Page 6-23.)

</div>

▶ *STEP # 4*
The resident's total RUG-III ADL score must be 10 or less to be classified in the RUG-III Impaired Cognition category. **If the ADL score is greater than 10, *skip to Category VII* now. If the ADL score is 10 or less and one of the impaired cognition conditions above is present, then the resident classifies as Impaired Cognition. *Proceed with Step #5.***

▶ *STEP # 5*
Determine Nursing Rehabilitation Count
Count the number of the following services provided for 15 or more minutes a day for 6 or more of the last 7 days:

Enter the nursing rehabilitation count to the right.

H3a,b*	*Any scheduled toileting program and/or bladder retraining program*
P3a,b*	*Passive and/or active ROM*
P3c	*Splint or brace assistance*
P3d,f*	*Bed mobility and/or walking training*
P3e	*Transfer training*
P3g	*Dressing or grooming training*
P3h	*Eating or swallowing training*
P3i	*Amputation/Prosthesis care*
P3j	*Communication training*
*Count as one service even if both provided	

<div align="right">

Nursing Rehabilitation Count _____

</div>

▶ *STEP # 6*
Select the final RUG-III Classification by using the total RUG-III ADL score and the Nursing Rehabilitation Count.

RUG-III ADL Score	*Nursing Rehabilitation*	*RUG-III Class*
6 - 10	2 or more	IB2
6 - 10	0 or 1	IB1
4 - 5	2 or more	IA2
4 - 5	0 or 1	IA1

<div align="right">

RUG-III CLASSIFICATION _____

</div>

CATEGORY II: EXTENSIVE SERVICES (cont.)
RUG-III, 44 GROUP HIERARCHICAL CLASSIFICATION

If the resident previously met the criteria for the Extensive Services category with an ADL score of 7 or more, complete the Extensive Services classification here.

▶ *STEP # 4 (Extensive Count Determination)*
Complete the scoring of the Extensive Services by summing the extensive count items:

Page 6-15	Extensive Count - Extensive Services	_____
Page 6-17	Extensive Count - Special Care	_____
Page 6-19	Extensive Count - Clinically Complex	_____
Page 6-22	Extensive Count - Impaired Cognition	_____

Total Extensive Count   _____

Select the final Extensive Service classification using the Total Extensive Count.

Extensive Count	*RUG-III Class*
4 or 5	SE3
2 or 3	SE2
0 or 1	SE1

RUG-III CLASSIFICATION _____

CATEGORY VI: BEHAVIOR PROBLEMS
RUG-III, 44 GROUP HIERARCHICAL CLASSIFICATION

▶ *STEP # 1*

The resident's total RUG-III ADL score must be 10 or less. **If the score is greater than 10,** *skip to Category VII* **now.**

▶ *STEP # 2*

One of the following must be met:

E4aA	Wandering (2 or 3)	
E4bA	Verbal abuse (2 or 3)	
E4cA	Physical abuse (2 or 3)	
E4dA	Inappropriate behavior (2 or 3)	
E4eA	Resisted care (2 or 3)	
J1e	Delusions	
J1i	Hallucinations	

If the resident does not meet one of the above, *skip to Category VII* **now.**

▶ *STEP # 3*

Determine Nursing Rehabilitation

Count the number of the following services provided for 15 or more minutes a day for 6 or more of the last 7 days:

Enter the nursing rehabilitation count to the right.

H3a,b*	*Any scheduled toileting program and/or bladder retraining program*
P3a,b*	*Passive and/or active ROM*
P3c	*Splint or brace assistance*
P3d,f*	*Bed mobility and/or walking training*
P3e	*Transfer training*
P3g	*Dressing or grooming training*
P3h	*Eating or swallowing training*
P3i	*Amputation/Prosthesis care*
P3j	*Communication training*

*Count as one service even if both provided.

Nursing Rehabilitation Count _____

▶ *STEP # 4*

Select the final RUG-III Classification by using the total RUG-III ADL score and the Nursing Rehabilitation Count.

RUG-III ADL Score	*Nursing Rehabilitation*	*RUG-III Class*
6 - 10	2 or more	BB2
6 - 10	0 or 1	BB1
4 - 5	2 or more	BA2
4 - 5	0 or 1	BA1

RUG-III CLASSIFICATION _____

CATEGORY VII: REDUCED PHYSICAL FUNCTIONS
RUG-III, 44 GROUP HIERARCHICAL CLASSIFICATION

▶ *STEP # 1*

Residents who do not meet the conditions of any of the previous categories, including those who would meet the criteria for the Impaired Cognition or Behavior Problems categories but have a RUG-III ADL score greater than 10, are placed in this category.

▶ *STEP # 2*

Determine Nursing Rehabilitation

Count the number of the following services provided for 15 or more minutes a day for 6 or more of the last 7 days:

Enter the nursing rehabilitation count to the right.

H3a,b*	*Any scheduled toileting program and/or bladder retraining program*
P3a,b*	*Passive and/or active ROM*
P3c	*Splint or brace assistance*
P3d,f*	*Bed mobility and/or walking training*
P3e	*Transfer training*
P3g	*Dressing or grooming training*
P3h	*Eating or swallowing training*
P3i	*Amputation/Prosthesis care*
P3j	*Communication training*

*Count as one service even if both provided

Nursing Rehabilitation Count _____

▶ *STEP # 3*

Select the RUG-III Classification by using the RUG-III ADL score and the Nursing Rehabilitation Count.

RUG-III ADL Score	*Nursing Rehabilitation*	*RUG-III Class*
16 - 18	2 or more	PE2
16 - 18	0 or 1	PE1
11 - 15	2 or more	PD2
11 - 15	0 or 1	PD1
9 - 10	2 or more	PC2
9 - 10	0 or 1	PC1
6 - 8	2 or more	PB2
6 - 8	0 or 1	PB1
4 - 5	2 or more	PA2
4 - 5	0 or 1	PA1

RUG-III CLASSIFICATION _____